



**New Patient (under 18) Registration Form**

Date: \_\_\_\_\_

**PATIENT**

Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_ Middle Initial \_\_\_\_\_

Birth Date: \_\_\_\_\_ Age: \_\_\_\_\_ Sex: \_\_Male \_\_Female **Prefers to be called:** \_\_\_\_\_

Home Address: \_\_\_\_\_ Apt#: \_\_\_\_\_

City, State, & Zip Code: \_\_\_\_\_ Home Phone: \_\_\_\_\_

School \_\_\_\_\_ Grade \_\_\_\_\_

**For Appointment Reminders:**

Cell Phone #: \_\_\_\_\_ Email Address: \_\_\_\_\_

**PARENT/GUARDIAN**

Custodial Parent(s) Name(s): \_\_\_\_\_

*(check all that apply)*  Mother  Father  Stepmother  Stepfather  Grandparent(s)  Other \_\_\_\_\_

**Dentist Name:** \_\_\_\_\_ **Office Phone #** \_\_\_\_\_

Father's Name: \_\_\_\_\_ Cell #: \_\_\_\_\_

Mother's Name: \_\_\_\_\_ Cell #: \_\_\_\_\_

**Dental Insurance: \*\*Please Give Your Insurance Card to Our Receptionist**

Name of Dental Insurance Co: \_\_\_\_\_ ID#: \_\_\_\_\_

Policy Holder's Name: \_\_\_\_\_ DOB: \_\_\_\_\_ SS#: \_\_\_\_\_

**Referred by (check) :** \_\_Dentist \_\_Insurance \_\_Internet \_\_Friend/Patient (Who: \_\_\_\_\_)

**Allergic to (circle) :** Latex Yes/No Nickel (metal) Yes/No

**Medical Conditions?** \_\_\_\_\_

**Signature:** \_\_\_\_\_

# INFORMED CONSENT

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## *for the Orthodontic Patient* **Risks and Limitations of Orthodontic Treatment**

Successful orthodontic treatment is a partnership between the orthodontist and the patient. The doctor and staff are dedicated to achieving the best possible result for each patient. As a general rule, informed and cooperative patients can achieve positive orthodontic results. While recognizing the benefits of a beautiful healthy smile, you should also be aware that, as with all healing arts, orthodontic treatment has limitations and potential risks. These are seldom serious enough to indicate that you should not

have treatment; however, all patients should seriously consider the option of no orthodontic treatment at all by accepting their present oral condition. Alternatives to orthodontic treatment vary with the individual's specific problem, and prosthetic solutions or limited orthodontic treatment may be considerations. You are encouraged to discuss alternatives with the doctor prior to beginning treatment.

Orthodontics and Dentofacial Orthopedics is the dental specialty that includes the diagnosis, prevention, interception and correction of malocclusion, as well as neuromuscular and skeletal abnormalities of the developing or mature orofacial structures.

An orthodontist is a dental specialist who has completed at least two additional years of graduate training in orthodontics at an accredited program after graduation from dental school.



## Results of Treatment

Orthodontic treatment usually proceeds as planned, and we intend to do everything possible to achieve the best results for every patient. However, we cannot guarantee that you will be completely satisfied with your results, nor can all complications or consequences be anticipated. The success of treatment depends on your cooperation in keeping appointments, maintaining good oral hygiene, avoiding loose or broken appliances, and following the orthodontist's instructions carefully.

## Length of Treatment

The length of treatment depends on a number of issues, including the severity of the problem, the patient's growth and the level of patient cooperation. The actual treatment time is usually close to the estimated treatment time, but treatment may be lengthened if, for example, unanticipated growth occurs, if there are habits affecting the dentofacial structures, if periodontal or other dental problems occur, or if patient cooperation is not adequate. Therefore, changes in the original treatment plan may become necessary. If treatment time is extended beyond the original estimate, additional fees may be assessed.

## Discomfort

The mouth is very sensitive so you can expect an adjustment period and some discomfort due to the introduction of orthodontic appliances. Non-prescription pain medication can be used during this adjustment period.

## Relapse

Completed orthodontic treatment does not guarantee perfectly straight teeth for the rest of your life. Retainers will be required to keep your teeth in their new positions as a result of your orthodontic treatment. You must wear your retainers as instructed or teeth may shift, in addition to other adverse effects. Regular retainer wear is often necessary for several years following orthodontic treatment. However, changes after that time can occur due to natural causes, including habits such as tongue thrusting, mouth breathing, and growth and maturation that continue throughout life. Later in life, most people will see their teeth shift. Minor irregularities, particularly in the lower front teeth, may have to be accepted. Some changes may require additional orthodontic treatment or, in some cases, surgery. Some situations may require non-removable retainers or other dental appliances made by your family dentist.

## Extractions

Some cases will require the removal of deciduous (baby) teeth or permanent teeth. There are additional risks associated with the removal of teeth which you should discuss with your family dentist or oral surgeon prior to the procedure.

## Orthognathic Surgery

Some patients have significant skeletal disharmonies which require orthodontic treatment in conjunction with orthognathic (dentofacial) surgery. There are additional risks associated with this surgery which you should discuss with your oral and/or maxillofacial

surgeon prior to beginning orthodontic treatment.

Please be aware that orthodontic treatment prior to orthognathic surgery often only aligns the teeth within the individual dental arches. Therefore, patients discontinuing orthodontic treatment without completing the planned surgical procedures may have a malocclusion that is worse than when they began treatment!

## Decalcification and Dental Caries

Excellent oral hygiene is essential during orthodontic treatment as are regular visits to your family dentist. Inadequate or improper hygiene could result in cavities, discolored teeth, periodontal disease and/or decalcification. These same problems can occur without orthodontic treatment, but the risk is greater to an individual wearing braces or other appliances. These problems may be aggravated if the patient has not had the benefit of fluoridated water or its substitute, or if the patient consumes sweetened beverages or foods.

## Root Resorption

The roots of some patients' teeth become shorter (resorption) during orthodontic treatment. It is not known exactly what causes root resorption, nor is it possible to predict which patients will experience it. However, many patients have retained teeth throughout life with severely shortened roots. If resorption is detected during orthodontic treatment, your orthodontist may recommend a pause in treatment or the removal of the appliances prior to the completion of orthodontic treatment.

## Nerve Damage

A tooth that has been traumatized by an accident or deep decay may have experienced damage to the nerve of the tooth. Orthodontic tooth movement may, in some cases, aggravate this condition. In some cases, root canal treatment may be necessary. In severe cases, the tooth or teeth may be lost.

## Periodontal Disease

Periodontal (gum and bone) disease can develop or worsen during orthodontic treatment due to many factors, but most often due to the lack of adequate oral hygiene. You must have your general dentist, or if indicated, a periodontist monitor your periodontal health during orthodontic treatment every three to six months. If periodontal problems cannot be controlled, orthodontic treatment may have to be discontinued prior to completion.

## Injury From Orthodontic Appliances

Activities or foods which could damage, loosen or dislodge orthodontic appliances need to be avoided. Loosened or damaged orthodontic appliances can be inhaled or swallowed or could cause other damage to the patient. You should inform your orthodontist of any unusual symptoms or of any loose or broken appliances as soon as they are noticed. Damage to the enamel of a tooth or to a restoration (crown, bonding, veneer, etc.) is possible when orthodontic appliances are removed. This problem may be more likely when esthetic (clear or tooth colored) appliances have been selected. If damage to a tooth or restoration occurs, restoration of the involved tooth/teeth by your dentist may be necessary.

## Headgears

Orthodontic headgears can cause injury to the patient. Injuries can include damage to the face or eyes. In the event of injury or especially an eye injury, however minor, immediate medical help should be sought. Refrain from wearing headgear in situations where there may be a chance that it could be dislodged or pulled off. Sports activities and games should be avoided when wearing orthodontic headgear.

## Temporomandibular (Jaw) Joint Dysfunction

Problems may occur in the jaw joints, i.e., temporomandibular joints (TMJ), causing pain, headaches or ear problems. Many factors can affect the health of the jaw joints, including past trauma (blows to the head or face), arthritis, hereditary tendency to jaw joint problems, excessive tooth grinding or clenching, poorly balanced bite, and many medical conditions. Jaw joint problems may occur with or without orthodontic treatment. Any jaw joint symptoms, including pain, jaw popping or difficulty opening or closing, should be promptly reported to the orthodontist. Treatment by other medical or dental specialists may be necessary.

## Impacted, Ankylosed, Unerupted Teeth

Teeth may become impacted (trapped below the bone or gums), ankylosed (fused to the bone) or just fail to erupt. Oftentimes, these conditions occur for no apparent reason and generally cannot be anticipated. Treatment of these conditions depends on the particular circumstance and the overall importance of the involved tooth, and may require extraction, surgical exposure, surgical transplantation or prosthetic replacement.

## Occlusal Adjustment

You can expect minimal imperfections in the way your teeth meet following the end of treatment. An occlusal equilibration procedure may be necessary, which is a grinding method used to fine-tune the occlusion. It may also be necessary to remove a small amount of enamel in between the teeth, thereby "flattening" surfaces in order to reduce the possibility of a relapse.

## Non-Ideal Results

Due to the wide variation in the size and shape of the teeth, missing teeth, etc., achievement of an ideal result (for example, complete closure of a space) may not be possible. Restorative dental treatment, such as esthetic bonding, crowns or bridges or periodontal therapy, may be indicated. You are encouraged to ask your orthodontist and family dentist about adjunctive care.

## Third Molars

As third molars (wisdom teeth) develop, your teeth may change alignment. Your dentist and/or orthodontist should monitor them in order to determine when and if the third molars need to be removed.

*Continued on next page*

Patient or Parent/Guardian Initials \_\_\_\_\_





American Association of **Orthodontists**

401 N. Lindbergh Blvd.  
St. Louis, MO, USA 63141-7816

800.424.2841 Toll Free  
314.997.6968 outside of the US and Canada  
314.993.6992 Fax  
[orders@aaortho.org](mailto:orders@aaortho.org)  
[www.AAOMembers.org](http://www.AAOMembers.org)

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Medical Dental History Form for Patients Under Age 18

PATIENT

Date
Patient's last name
First name
Middle initial
Prefers to be called
Hobbies, activities
Birth date
Sex
Social Security #
School
Grade
Email address(es)
Home address
City, State, Zip code
Home phone
Cell phone

PARENT/GUARDIAN

Custodial parent(s) name(s)
Patient lives with
Mother
Father
Stepmother
Stepfather
Grandparent(s)
Other
Father's full name
Title
Occupation
Email address
Address
Home phone
Cell phone
Work phone
Mother's full name
Title
Occupation
Email address
Address
Home Phone
Cell phone
Work phone

DENTIST

Patient's Dentist
Address, City, State
Last seen
Reason
Next appointment
Other dentists/dental specialists now being seen: Name
City, State
Reason

GENERAL INFORMATION

What concerns you about your child's teeth?
What concerns your child about his/her teeth?
How does your child feel about orthodontic treatment?
Who suggested that your child might need orthodontic treatment?
Why did you select our office?
Describe any previous orthodontic treatment or consultations.
Does your child play a musical instrument?

Brother/sister name \_\_\_\_\_ age \_\_\_\_\_ had orthodontic treatment?  Yes  No If yes, where? \_\_\_\_\_  
 Brother/sister name \_\_\_\_\_ age \_\_\_\_\_ had orthodontic treatment?  Yes  No If yes, where? \_\_\_\_\_  
 Brother/sister name \_\_\_\_\_ age \_\_\_\_\_ had orthodontic treatment?  Yes  No If yes, where? \_\_\_\_\_  
 Brother/sister name \_\_\_\_\_ age \_\_\_\_\_ had orthodontic treatment?  Yes  No If yes, where? \_\_\_\_\_  
 Have any other family members been treated in this office? Please name them. \_\_\_\_\_

## FINANCIAL RESPONSIBILITY

Who is financially responsible for this account? \_\_\_\_\_  
 Address (if different than page 1) \_\_\_\_\_ City, State, Zip \_\_\_\_\_  
 Home phone ( ) \_\_\_\_\_ Cell phone ( ) \_\_\_\_\_ Email address(es) \_\_\_\_\_  
 Social Security # \_\_\_\_\_ Employer \_\_\_\_\_  
 Who will be responsible for bringing the patient to orthodontic appointments? \_\_\_\_\_

## DENTAL INSURANCE

Primary policy holder's full name \_\_\_\_\_ Birth date \_\_\_\_\_  
 Social Security # \_\_\_\_\_ Relationship to patient \_\_\_\_\_  
 Address and phone (if not listed above) \_\_\_\_\_  
 Employer \_\_\_\_\_ Address \_\_\_\_\_  
 Insurance company \_\_\_\_\_ Group # \_\_\_\_\_ ID# \_\_\_\_\_  
 Does this policy have orthodontic benefits?  Yes  No  Don't Know

Secondary policy holder's full name \_\_\_\_\_ Birth date \_\_\_\_\_  
 Social Security # \_\_\_\_\_ Relationship to patient \_\_\_\_\_  
 Address and phone (if not listed above) \_\_\_\_\_  
 Employer \_\_\_\_\_ Address \_\_\_\_\_  
 Insurance company \_\_\_\_\_ Group # \_\_\_\_\_ ID# \_\_\_\_\_  
 Does this policy have orthodontic benefits?  Yes  No  Don't Know

## MEDICAL INSURANCE

Policy holder's full name \_\_\_\_\_  
 Insurance Company \_\_\_\_\_

## PHYSICIAN

Patient's Physician \_\_\_\_\_ City, State \_\_\_\_\_  
 Last seen \_\_\_\_\_ Reason \_\_\_\_\_ Next appointment \_\_\_\_\_  
 Most recent physical exam \_\_\_\_\_

Other physicians/health care providers being seen now:

Name \_\_\_\_\_ City, State \_\_\_\_\_  
 Reason \_\_\_\_\_  
 Name \_\_\_\_\_ City, State \_\_\_\_\_  
 Reason \_\_\_\_\_

**Your answers are for office records only, and are confidential. A thorough medical history is essential to a complete orthodontic evaluation.**

For the following questions, please mark yes, no, or don't know/understand (dk/u).

## MEDICAL HISTORY

**Now or in the past, has your child had:**

Yes No DK/U

- Birth defects or hereditary problems?
- Bone fractures or major injuries?
- Any injuries to face, head, neck?
- Arthritis or joint problems?
- Cancer, tumor, radiation treatment or chemotherapy?
- Endocrine or thyroid problems?
- Diabetes or low sugar?
- Kidney problems?
- Immune system problems?
- History of osteoporosis?
- Gonorrhea, syphilis, herpes, sexually transmitted diseases?
- AIDS or HIV positive?
- Hepatitis, jaundice, or other liver problems?
- Polio, mononucleosis, tuberculosis, pneumonia?
- Seizures, fainting spells, neurologic problems?
- Mental health disturbance or depression?
- History of eating disorder (anorexia, bulimia)?
- Frequent headaches or migraines?
- High or low blood pressure?
- Excessive bleeding or bruising, anemia?
- Chest pain, shortness of breath, tire easily, swollen ankles?
- Heart defects, heart murmur, rheumatic heart disease?
- Angina, arteriosclerosis, stroke or heart attack?
- Skin disorder (other than common acne)?
- Does your child eat a well-balanced diet?
- Vision, hearing, or speech problems?
- Frequent ear infections, colds, throat infections?
- Asthma, sinus problems, hayfever?
- Tonsil or adenoid condition?
- Does your child frequently breathe through his/her mouth?
- Has your child ever taken intravenous bisphosphonates such as Zometa (zoledronic acid), Aredia (pamidronate) or Didronel (etidronate) for bone disorders or cancer?
- Has your child ever taken oral bisphosphonates such as Fosamax (alendronate), Actonel(ridendronate), Boniva (ibandronate), Skelid (tiludronate) or Didrone! (etidronate) for bone disorders?

**Has your child had allergies or reactions to any of the following?**

Yes No DK/U

- Local anesthetics (novocaine, lidocaine, xylocaine)
- Latex (gloves, balloons)
- Aspirin
- Ibuprofen (Motrin, Advil)
- Penicillin
- Other antibiotics
- Metals (jewelry, clothing snaps)
- Acrylics
- Plant pollens
- Animals
- Foods
- Other substances \_\_\_\_\_

## DENTAL HISTORY

**Now or in the past, has your child had:**

Yes No DK/U

- Erupting teeth very early or very late?
- Primary (baby) teeth removed that were not loose?
- Permanent or extra (supernumerary) teeth removed?
- Supernumerary (extra) or congenitally missing teeth?
- Chipped or injured primary or permanent teeth?
- Any sensitive or sore teeth?
- Any lost or broken fillings?
- Jaw fractures, cysts, infections?
- Any teeth treated with root canals or pulpotomies?
- Frequent canker sores or cold sores?
- History of speech problems or speech therapy?
- Difficulty breathing through nose?
- Mouth breathing habit or snoring at night?
- History of speech problems?
- Frequent oral habits (sucking finger, chewing pen, etc)?
- Teeth causing irritation to lip, cheek or gums?
- Tooth grinding or clenching?
- Clicking, locking in jaw joints?
- Soreness in jaw muscles or face muscles?
- Has your child been treated for "TMJ" or "TMD" problems?
- Any broken or missing fillings?
- Any serious trouble associated with previous dental treatment?
- Has your child ever been diagnosed with gum disease or pyorrhea?



## PATIENT HEALTH INFORMATION

Do you think that any of your child's activities affect his/her face, teeth or jaws? How? \_\_\_\_\_

List any medication, nutritional supplements, herbal medications or non-prescription medicines, including fluoride supplements that your child takes.

Medication \_\_\_\_\_ Taken for \_\_\_\_\_

Medication \_\_\_\_\_ Taken for \_\_\_\_\_

Medication \_\_\_\_\_ Taken for \_\_\_\_\_

Does your child take antibiotic pre-medication before any dental procedures? \_\_\_\_\_

Does your child have (or ever had) a substance abuse problem? \_\_\_\_\_

Does your child chew or smoke tobacco? \_\_\_\_\_

Have you noticed any unusual changes in your child's face or jaws? \_\_\_\_\_

Any other physical problems? \_\_\_\_\_

## FAMILY MEDICAL HISTORY

Have the parents or siblings ever had any of the following health problems? If so, please explain.

Bleeding disorders \_\_\_\_\_ Diabetes \_\_\_\_\_

Arthritis \_\_\_\_\_ Severe allergies \_\_\_\_\_

Unusual dental problems \_\_\_\_\_ Jaw size imbalance \_\_\_\_\_

Other family medical conditions? \_\_\_\_\_

How often does your child brush? \_\_\_\_\_ Floss? \_\_\_\_\_

## RELEASE AND WAIVER

**I authorize release of any information regarding my child's orthodontic treatment to my dental and/or medical insurance company.**

Parent/Guardian Signature \_\_\_\_\_ Date \_\_\_\_\_

**I have read the above questions and understand them. I will not hold my orthodontist or any member of his/her staff responsible for any errors or omissions that I have made in the completion of this form. I will notify my orthodontist of any changes in my child's medical or dental health.**

Parent/Guardian Signature \_\_\_\_\_ Date \_\_\_\_\_

## MEDICAL HISTORY UPDATES OR CHANGES

Changes \_\_\_\_\_

Parent/Guardian Signature \_\_\_\_\_ Date \_\_\_\_\_

Dental Staff Signature \_\_\_\_\_ Date \_\_\_\_\_

Changes \_\_\_\_\_

Parent/Guardian Signature \_\_\_\_\_ Date \_\_\_\_\_

Dental Staff Signature \_\_\_\_\_ Date \_\_\_\_\_

Changes \_\_\_\_\_

Parent/Guardian Signature \_\_\_\_\_ Date \_\_\_\_\_

Dental Staff Signature \_\_\_\_\_ Date \_\_\_\_\_

## Financial Policy Options

In effort to help you in budgeting the financial portion of your orthodontic investment, we have several payment options:

- Option 1 – Interest Free, Low down payment and up to 24 months to pay for treatment
- Option 2 – CareCredit or Lending Point with **zero down** and extended payment plans with low monthly payments (beyond 24 months will include a finance charge). Insurance is applied to your financial portion.

*With CareCredit or Lending Point:*

1. Monthly payments to fit almost every budget.
2. There are no annual fees or prepayment penalties.
3. It's a separate line of credit to cover you and your family's healthcare needs, leaving existing credit available for emergencies and other purchases.
4. Take advantage for your down payment or the total treatment fee.

\*Insurance is applied to total treatment cost and the remaining patient balance is paid according to option selected above.

**NOTE:** Please remember that you are ultimately responsible for all charges incurred and that you are responsible for any balance not paid by your insurance company.

We accept Cash, Money Orders, Personal Checks, American Express, Visa, MasterCard and Discover and offer third party financing through CareCredit and Lending Point.

[Go to www.carecredit.com](http://www.carecredit.com)

(Lending Point is for Invisalign treatment Financing)

Practice limited to Orthodontics

Jeffrey J. Kim, D.D.S.  
90 Bryant Avenue  
1C Embassy Building  
White Plains, N.Y. 10605

(914) 946-9098

### PATIENT HIPAA AWARENESS

With my permission, Dr. Jeffrey J. Kim, may use, and disclose Protected Health Information (PHI) about me to carry out treatment, payment and healthcare operations (TPO). Please refer to Dr. Kim's Notice of Privacy Practices for a more complete description of such issues and disclosures.

I have the right to review the Notice of Privacy Practices prior to signing this consent. Dr. Kim reserves the right to revise its Notice of Privacy Practices at anytime. A revised Notice of Privacy Practices may be obtained by forwarding a written request to the Privacy Officer.

With my permission, the office of Dr. Kim may call my home or other designated locations and leave a message on voicemail or in person in reference to any items that assist the practice in carrying out TPO, such as appointment reminders, insurance items and any call pertaining to my clinical care, including laboratory results among others.

With my permission the office of Dr. Kim may mail to my home or other designated locations any items that assist the practice in carrying out TPO, such as appointment card reminders and patient statements as long as they are marked personal and/or confidential.

I have the right to request that Dr. Kim restrict how it uses or discloses my PHI to carry out TPO. However, the practice is not required to agree to my requested restrictions, but if it does, it is bound by this agreement.

By signing this, I am allowing Dr. Kim to use and disclose my PHI for TPO.

I may revoke my consent in writing except to the extent that the practice has already made disclosures in reliance upon my prior consent.

\_\_\_\_\_  
Signature of Patient or Legal Guardian

\_\_\_\_\_  
Patient's Name

\_\_\_\_\_  
Date

**Print Name of Patient or Legal Guardian**

White Plains Orthodontics, PC  
Supplemental Consent/Acknowledgement Form

By signing below and circling “yes”, you consent to allow White Plains Orthodontics, PC to do the following.

- Display your first name and photograph in our office.      Yes    No
- Display your first name and photograph on our website.    Yes    No
- Use your orthodontic records for educational purposes.    Yes    No

Patient’s Name \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_